# Regular Expressions and DFAs 

See section 3.2 of the text

We have already seen the language of Regular Expressions.

1. The language represented by $\varepsilon$ is $\{\varepsilon\}$; the language represented by $\phi$ is $\phi$; any letter a in $\Sigma$ represents the language \{a\}
2. If E is a regular expression then so is ( E ) and it represents the same language as E .
3. If expressions E and F represent languages $\boldsymbol{L}_{1}$ and $\mathfrak{L}_{2}$ then expression $\mathrm{E}+\mathrm{F}$ represents $\mathcal{L}_{1} \cup \mathcal{L}_{2}$.
4. If expressions E and F represent languages $\mathfrak{L}_{1}$ and $\mathfrak{L}_{2}$ then expression EF represents the language of strings formed by concatenating a string from $\mathcal{L}_{2}$ onto the end of a string from $\mathfrak{L}_{1}$.
5. If expression E represents language $\mathcal{L}$ then expression $\mathrm{E}^{*}$ represents the language of strings formed by concatenating 0 or more strings from $\mathcal{L}$ together.
6. If expression E represents language $\mathcal{L}$ then expression $\mathrm{E}^{+}$represents the language of strings formed by concatenating 1 or more strings from $\mathcal{L}$ together. $\mathrm{E}^{+}=\mathrm{EE}^{*}$

Note that our definition of the language represented by regular expressions is recursive.

Theorem: If E is a regular expression then there is a DFA that accepts the language represented by E .
Proof. Structural induction!!

Here are the base cases:

$$
\varepsilon:(S) \quad \phi: S
$$

For any a in $\Sigma: ~(\mathrm{~S} \xrightarrow{\mathrm{a}} \mathrm{T}$

For the inductive cases, suppose E and F are regular expressions whose languages are accepted by the $\varepsilon$-NFAs


Since these are $\varepsilon$-NFAs we can assume there is only one final state i each automaton and there are no transitions out of it. Here are automata for the expressions we can build from E and F:
(E):



EF:


$\mathrm{E}^{+}$:


For the $\mathrm{E}^{*}$ automaton note that we need a new start state; it isn't enough to just make the start state final:


This accepts 000 and many other strings not in $\left(0^{*} 1\right)^{*}$

Example: Find a finite automaton that accepts the language represented by $(0+1)^{*} 01$


Example: Find a finite automaton that accepts the language represented by $(01+10)^{*}$


Theorem: Any language accepted by a DFA is also denoted by a regular expression.
Proof: This is more difficult because we don't have a recursive definition of a DFA for induction. We need to start with an arbitrary DFA and construct a regular expression for it.

Setup:

1. Number the states of the DFA $q_{1}, q_{2}, \ldots q_{n}$ where $q_{1}$ is the start state. Note that we start indexing at 1 , not 0 .
2. Define $R_{i j}^{k}$ to be the set of all strings that take the automaton from state $q_{i}$ to state $q_{j}$ without passing through any states numbered higher than $k$ (where "passing through" means first entering, then leaving).

For example, consider:


Here $R_{13}^{2}=\{00\}$
$R_{12}^{0}=\{0\}$
$R_{13}^{4}=\{00,010,0110, \ldots\}=01^{*} 0$

Note that if the automaton has n states then $\mathrm{U}_{q_{j \in F}} R_{1 j}^{n}$ is the set of strings accepted by the automaton. We will use recursion on $k$ to show that each of the $R_{i j}^{k}$ sets is denoted by a regular expression.

For the base case, $\mathrm{k}=0$. If $\mathrm{i} \neq j$ then $R_{i j}^{0}$ is empty if there is no transition from $\mathrm{q}_{\mathrm{i}}$ to $\mathrm{q}_{j}$ if there is such a transition then $R_{i j}^{0}=$ $\left\{a \mid \delta\left(q_{i}, a\right)=q_{i}\right\}$ If $i$ and $j$ are equal $R_{i i}^{0}=\left\{a \mid \delta\left(q_{i}, a\right)=q_{i}\right\} \cup\{\varepsilon\}$ In all cases $R_{i j}^{0}$ is finite and so is represented by a regular expression.

For the inductive case, note that for any $\mathrm{k}>0$

$$
R_{i j}^{k}=R_{i j}^{k-1} \cup R_{i k}^{k-1}\left(R_{k k}^{k-1}\right)^{*} R_{k j}^{k-1}
$$

This means we can represent $R_{i j}^{k}$ by the regular expression

$$
r_{i j}^{k}=r_{i j}^{k-1}+r_{i k}^{k-1}\left(r_{k k}^{k-1}\right)^{*} r_{k j}^{k-1}
$$

Finally, $r=\sum_{q_{j} \in F} r_{1 j}^{n}$ is a regular expression that denotes the language accepted by the automaton.

Example:

$$
\begin{aligned}
& \text { (q1 } \xrightarrow{1} \text { q. }_{\text {(q3) }}^{\stackrel{0}{1}} \\
& r_{i j}^{1}=r_{i j}^{0}+r_{i 1}^{0}\left(r_{11}^{0}\right)^{*} r_{1 j}^{0} \\
& r_{i j}^{2}=r_{i j}^{1}+r_{i 2}^{1}\left(r_{22}^{1}\right)^{*} r_{2 j}^{1}
\end{aligned}
$$

|  | $\mathrm{k}=0$ | $\mathrm{k}=1$ | $\mathrm{k}=2$ |
| :--- | :---: | :---: | :--- |
| $r_{11}^{k}$ | $\varepsilon$ | $\varepsilon$ | $\varepsilon$ |
| $r_{12}^{k}$ | 1 | 1 | $1+1(0+\varepsilon)^{*}(0+\varepsilon)=10^{*}$ |
| $r_{13}^{k}$ | $\phi$ | $\phi$ | $1(0+\varepsilon)^{*} 1=10^{*} 1$ |
| $r_{21}^{k}$ | $\phi$ | $\phi$ | $\phi$ |
| $r_{22}^{k}$ | $0+\varepsilon$ | $0+\varepsilon$ | $(0+\varepsilon)+(0+\varepsilon)(0+\varepsilon)^{*}(0+\varepsilon)=0^{*}$ |
| $r_{23}^{k}$ | 1 | 1 | $1+(0+\varepsilon)(0+\varepsilon)^{*} 1=0^{*} 1$ |
| $r_{31}^{k}$ | $\phi$ | $\phi$ | $\phi$ |
| $r_{32}^{k}$ | $\phi$ | $\phi$ | $\phi$ |
| $r_{33}^{k}$ | $\varepsilon$ | $\varepsilon$ | $\varepsilon$ |

Finally, we are only interested in $r_{13}^{3}$.

$$
\begin{aligned}
r_{13}^{3} & =r_{13}^{2}+r_{13}^{2}\left(r_{33}^{2}\right)^{*} r_{33}^{2} \\
& =10^{*} 1+\left(10^{*} 1\right) \varepsilon^{*} \varepsilon \\
& =10^{*} 1
\end{aligned}
$$

